

Document Readers



EPA Funding Recommendation

Title: GAP FY13-14 - Alturas Rancheria Year 1

Document Status

Document Phase: Final
Current Editor: Timothy Wilhite
Delegate: Veronica Swann

Last Modified: 10/03/2015
Previous Editor: Jeff Scott

Section A - Project Information

A.1. Grant Number:	00T12801-0	A.2. Grant Type:	Non-Construction
A.3. Agreement Type:	Cooperative Agreement	A.4. Awarding Region:	EPA R9
A.5. AAShip/RAShip:	R9 - Region 9		
A.6. Approval Office	Communities and Ecosystems Division		
Division:			
A.7. Branch	Tribal Program Office, CED-3		
A.8. Record Type:	N		
A.9. Applicant Type:	Indian Tribe		
A.10. Applicant Name:	IT130 - Alturas Rancheria		
A.11. DUNS:	617363544		
A.12. Project Title:	General Assistance Program (GAP)		
A.13. EPA Funds Requested:	\$75,000		
A.14. Program Code:	GA - Indian Environmental General Assistance Program		
A.15. Statutory Auth:	Indian Envir General Asst Prog Act 1992		
	1-71 Indian Tribes: General Assistance Grants for Environmental Protection Programs		
A.17. CFDA:	66.926 - Indian Environmental General Assistance Program		
A.18. Media:	Multi-Media		
A.19. Project Period Start:	10/01/2013	A.20. Project Period End:	09/30/2017
A.21. Budget Period Start:	10/01/2013	A.22. Budget Period End:	09/30/2017
A.23. Project Description: Please provide a description of the work to be accomplished in this project. The objective of this project is to develop the Alturas Rancheria's environmental protection capacity. This will involve hiring and training environmental staff, developing an environmental inventory and plan, assessing administrative capacity, coordinating and consulting with various entities, and providing outreach and education to members.			

This award provides funding for year one of the Rancheria's first GAP grant and is expected to support work plan activities from 10/01/2013 - 09/30/2014.

Section B - Project Justification and Environmental Results

B.1. Is the principal purpose of this award to transfer anything of value to the recipient to support or stimulate a public purpose authorized by an EPA grant making statute in accordance with the Federal Grant and Cooperative Agreement Act? (The purpose of the award must not be to acquire goods or services for the direct use and benefit of the Federal Government.) (See the "Policy for Distinguishing Between Assistance and Acquisition", EPA Order 5700.1.) Yes

B.1.a. Describe the specific project activities and how they will further the recipient's own efforts to protect the environment. EPA will not award a grant unless the funding recommendation is based on criteria stated in EPA Order 5700.1.

This assistance agreement will directly benefit the Alturas Rancheria by providing financial assistance to support planning, development, and continuance of the Tribe's environmental program. Activities will include developing an environmental inventory to identify and prioritize issues, developing a plan to address environmental issues and document environmental goals, assessing Rancheria administrative policies and procedures to ensure they meet grant requirements, attending meetings and otherwise coordinating with tribes and other organizations to learn about and participate in environmental initiatives, finalizing an emergency response plan, conducting

community education related to solid waste and water concerns, and educating tribal members about environmental issues and program activities.

B.1.b. Is this a cooperative agreement? Yes
Enter Terms and Conditions outlining EPA's substantial involvement in Section F.

B.2. Does the scope of the work involve conducting any conferences or workshops? No

B.3. If this is a new or continuation award or a supplemental amendment to a grant awarded 1/1/2011 or later, answer this question: Are costs for light refreshments, meals or beverages included in the workplan or budget? (new as of April 2012) No

B.4. Describe how the project relates to the statutory authority.

The "Indian Environmental General Assistance Program Act of 1992" authorizes funding for general assistance grants to Indian tribal governments and intertribal consortia to (1) build capacity to administer environmental regulatory programs that may be delegated by the Environmental Protection Agency on Indian lands; and (2) provide technical assistance from the EPA to Indian tribal governments and intertribal consortia in the development of multimedia programs to address environmental issues on Indian lands. Purposes and programs authorized under this section shall include development and implementation of solid and hazardous waste programs for Indian lands. Project activities meet this criteria because these activities are directly building capacity to administer environmental regulatory programs.

B.4.a. Is this assistance agreement a Congressional earmark project to a specific recipient? No

B.5. Expected Environmental Results: Enter the Program Results Codes (PRC) of the funds that will be used for this assistance agreement

PRC	AMOUNT	PROGRAM/PROJECT	ALLOCATION %
304L15	75,000	15 - Categorical Grant: Tribal General Assistance Program	100

Total Amount: \$ 75,000

This project supports the following goals, objectives, and subobjectives.

GOAL	OBJECTIVE	SUBOBJECTIVE
3 - Cleaning Up Communities and Advancing Sustainable Development	3.4 - Strengthen Human Health and Environmental Protection in Indian Country	- NA after FY 11

B.5.a. Does this assistance agreement result from a solicitation issued before January 1, 2005? No

B.5.b. Has your office obtained a waiver from the requirements of Environmental Results under Assistance Agreements, EPA Order 5700.7 under Section 15? No

B.5.c. The Communities and Ecosystems Division in the R9 - Region 9 has reviewed the work plan. The Office has determined that it contains well-defined outputs and, to the maximum extent practical, well-defined outcomes or that the award is a State or Tribal assistance agreement under 40 CFR Part 35, Subparts A or B that complies with 40 CFR 35.107 (State and local government work plans) or 35.507 (Tribal work plans). See EPA Order 5700.7 Sections 7.a. and 8.b. Yes

Enter Terms and Conditions on results based on recipient type and program code.

B.5.d. Describe how this project fits within the Agency's Strategic Plan/Government Performance and Results Act architecture. The description must identify all EPA strategic goals, objective, and where available, subobjectives listed under Section B.4 consistent with the appropriate Program Results Codes. (See EPA Order 5700.7, Section 8.)

This project supports EPA strategic Goal 3 (Cleaning Up Communities and Advancing Sustainable Development) and Objective 3.4 (Strengthen Human Health and Environmental Protection in Indian Country). In completing this project, the Tribe will improve human health and the environment through hiring staff and training them to identify and address environmental issues; developing environmental inventories and plans to document issues, priorities and goals; educating tribal members and others about environmental issues and hazards. The PRC assigned to the funding for this project is consistent with this strategic goal and objective.

B.5.e. Attach a program specific term and condition approved by the Director, Office of Grants and Debarment, which requires recipient reporting or attach a reporting term and condition in accordance with Appendix B to EPA Order 5700.7.

Reporting is included in the work plan and a reporting condition is attached in Section F..

B.6. Quality Assurance: Does this program or project include generation of environmental data, or use of existing environmental data? No

B.7. Is the recipient of this assistance award a non-profit organization? No

B.8. Will the assistance award result in the development of any copyrighted software or No

written materials?

B.9. Is this a Research Assistance Agreement?	No
B.10. Could an invention result from this project?	No
B.11. Does the project involve human subjects?	No
B.12. Does the project involve animal subjects?	No
B.13. Is this a cooperative agreement which will include the survey or collection of identical information from 10 or more persons, or a grant which will include the survey or collection of identical information from 10 or more persons and under which EPA influenced the design, development or implementation of the survey? (See Grants Policy Issuance 99-1, Information Collection Requirements)	No
B.14. Does this proposal include projects that will be performed entirely or in part outside of the United States?	No
B.15. Does the grant involve or relate to geospatial information?	No

Geospatial information includes: "Information that identifies the geographic location and characteristics of natural or constructed features or boundaries on the Earth, or applications, tools, and hardware associated with the generation, maintenance, or distribution of such information. The information may be derived from, among other things, GPS, remote sensing, mapping, charting, and surveying technologies, or statistical data."

B.16. Will this assistance agreement require individuals to access federally controlled facilities or have access to federally controlled computer systems at least 24 hours a week for a 6 month period or more?	No
B.17. Place of performance is the primary location of performance e.g. Harvard University in Cambridge MA conducts a project in Lancaster PA. The place of performance is Lancaster PA.	
B.17.a State	CA
B.17.b County	Modoc
B.17.c City	Alturas
B.17.d Congressional District	01
B.17.e Zipcode	96101

Section C - Competition, EPA Order 5700.5A1

C.1. Was the award made through a competitive process?	No
C.2. Select the reason why the award was not competed under EPA Order 5700.5A1.	
Exempt from Competition Policy - 6.c	
C.2.a. Select the reason under Section 6.c of the Competition Policy for why the award was exempt.	
Programs available only to Indian Tribes and Intertribal Consortia - 6.c(2)	
C.3. Competition code:	P

Section D - Project Funding

Approved Budget	
Cost Category Classification (Nonconstruction)	Approved Allowable Budget Period Cost
D.1. Personnel	\$0
D.2. Fringe Benefits	\$0
D.3. Travel	\$0
D.4. Equipment	\$0
D.5. Supplies	\$0
D.6. Contractual	\$0
D.7. Construction	\$0
D.8. Other	\$0
D.9. Total Direct Costs	\$0
D.10. Indirect Costs	\$0
IDC Rate % Base \$	
D.11. Total	\$0
D.12. Total Requested Amount	\$75,000
D.13. Total Request To Fund Amt This Action	\$75,000

D.14. Is this funding full or partial (incremental)?

Full

Section E - Project Funding Information

E.1. Based on a cost review analysis, all costs are necessary and reasonable in accordance with the Cost Review Guidance (GPI-00-05)

Yes

E.1.a. Enter or attach Cost Review Analysis.



alturas cost review checklist 2013-2014.docx

E.2. Does this project include preaward costs?

No

E.3. Will this assistance agreement be funded with funds from more than one appropriation?

No

E.4. Does the funding for this action include any EPA In-Kind Contribution?

No

E.5. Is the recipient providing cost sharing under this agreement?

No

E.6. Does this action include approval to carry forward obligated funds from prior years?

No

E.7. Is this project expected to generate program income?

No

E.8. Will equipment be acquired under this assistance agreement?
(See 2 CFR 200.313)

Yes

E.8.a. When equipment is no longer needed for this project:

Allow the recipient to continue to use it on this project or on other federally funded projects whether or not supported by Federal funds

Section F - Terms and Conditions

F.1. Insert Appropriate Terms and Conditions.

1. To accomplish the statutory and regulatory purposes of the General Assistance Program, this assistance agreement is awarded to the Alturas Rancheria for planning, developing, and establishing environmental protection programs, and may include development and implementation of solid and hazardous waste programs administered by the EPA on the Alturas Rancheria.

2. The EPA may terminate the assistance agreement for failure of the recipient to make sufficient progress so as to reasonably ensure completion of the project within the project period, including any extensions. The EPA will measure sufficient progress by examining the performance required under the workplan in conjunction with the milestone schedule, the time remaining for performance within the project period, and/or the availability of funds necessary to complete the project.

3. The U.S. EPA will be substantially involved in overseeing and monitoring this cooperative agreement. Involvement includes, but is not limited to: Providing intense programmatic monitoring by reviewing, commenting on, and/or providing prior approval of specific work plan activities and/or project phases and their associated costs; joint collaboration between the EPA and the recipient; providing technical assistance in developing environmental plans, inventories and administrative assessments.

4. The grantee shall prepare and submit quarterly progress reports to self evaluate and to joint evaluate annual performance under the grant including: status of each work plan component, commitment, output/deliverables and outcomes; status of expenditures for the reporting period; summary of accomplishments including the reporting of environmental results and discussion of

problems impacting or expected to impact performance; and tasks not on schedule and proposed dates of completion. Each report is due on the 30th day after the end of the quarter i.e., January 30, April 30, July 30, and October 30. The recipient shall submit a final report within 90 days of the end of the project period.

Section G - Project Officer Approval

G.1 Project Officer Approval. I have reviewed and approved the work plan/scope of work and budget for this project and I recommend the Award. Yes

G.1a. Address: 75 Hawthorne Street

G.1.b. City: San Francisco

G.1.c. State: CA

G.1.d. Zip Code: 94105

G.2. Does this Funding Recommendation require approval of the Senior Resources Official? No

Section H - Commitment Clerk

H.1. Funding Regions: EPA R9

H.1.s. Commit Clerk: Timothy Wilhite

H.1.t. Funding Region: EPA R9

Section I - Signatures

Section J - Review & Approvals

J.1. Read Access: Controlled

J.2. Readers: Fareed Ali
Laura Ebbert
Pamela Overman
Timothy Wilhite
Veronica Swann
Fareed Ali

J.3. Submitted:

J.5. Concurrent Approvers: Laura Ebbert
Veronica Swann

J.6. Submitted: 06/18/2013

J.7. Due Date: 06/19/2013

Concurrent Approval Responses

Response	Concurrence By	Date
Approve	Veronica Swann	06/18/2013
Approve	Laura Ebbert	06/18/2013

J.8. Sequential Approvers:
Current Approver:

J.9. Submitted:

J.10. Due Date:

Sequential Approval Responses

Response	Concurrence By	Date

Section K - Commitment Notices

GAP FY13-14 - Alturas Rancheria year 1

\$75,000

Section L - Grant Specialist

L.1. Grant Specialist: Fareed Ali

L.2. Title: Grants Management
Specialist

L.3. Phone: 415-972-3665

L.4. Submitted: 07/08/2013

Section M - Funding Recommendations Attachments

Section N - Origination Information

Section O - Notifications History